Ab initio computations for the ring-opening potential of propylene oxide: roles of a proton and a water molecule

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Internet Electronic Conference of Molecular Design 2003, November 23 – December 6

Abstract

Ab initio computations for the ring-opening potential of propylene oxide were carried out. The calculations of MP2/6-31G**, MP2/6-31++G**, MP3/6-31G**, MP3/6-31G**, MP3/6-31G** were used to obtain the most stable structure of propylene oxide. The MP2/6-31G** method was used to calculate the ring-opening potentials for five systems, an isolated propylene oxide, a hydrated propylene oxide, a protonated propylene oxide, a protonated and hydrated propylene oxide, and a propylene oxide with a hydronium ion. Adding a proton was found to lower the potential barrier of the ring-opening reaction. It was found from the results of the localized molecular orbitals that the oxygen atom of a water molecule approaching the carbon atom of a C-O bond binds to the carbon atom to complement the insufficiency of the valence of the carbon atom. Furthermore, we carried out calculations for the ring-opening reaction of a protonated propylene oxide using MP2/6-31++G**, MP3/6-31G**, MP3/6-31++G**, and MP4SDQ/6-31G** methods. Our results suggest that the ring-opening process of a protonated propylene oxide is a one-step process.

Keywords. Ab initio computation, ring-opening reaction, propylene oxide, localized MO.

1 INTRODUCTION

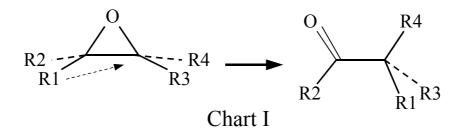
The carcinogenic and/or mutagenic properties of vinyl compounds and of aromatic compounds have been investigated by many researchers. In particular, the chlorinated compounds have become well-known as strong carcinogens [1-12]. Henschler and Neudecker [1] investigated variations in the mutagenic properties of chloropropenes with respect to the number of substituted chlorine atoms and to the substituted positions. In their experiments, they used three enzyme inhibitors to trace the activated metabolic intermediates in the metabolic processes and found two metabolic pathways. One is the epoxidative pathway, in which an oxide is produced as an essential intermediate. Another is the hydrolytic-oxidative pathway, in which an aldehyde is produced as an essential intermediate. Although oxides are usually converted to diols by hydrolysis, Henschler and Neudecker concluded from their results that chloropropene oxides are converted to chloropropanals and not diols. In this case, oxides must be converted to propanals via both a ring-opening reaction and a hydrogen transfer reaction.

The ring-opening reactions of ethylene oxide and propylene oxide have been investigated by both experimental and quantum chemical computational methods [13-19]. Based on the results of thermal gas-phase decomposition and of single-pulse shock tube, it has been reported that an activation energy of 58.5 kcal/mol is needed for the ring-opening reaction of propylene oxide

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[13,14]. In an acidic solution, the activation energy decreases to 18.62 kcal/mol [15]. For protonated ethylene oxide, the energy is 20 kcal/mol [16]. In computational research, similar results have been obtained [17-19]. As shown in Chart 1, the oxide-to-aldehyde conversion process must include both a ring-opening reaction and a hydrogen transfer reaction. The computed results for propylene oxide indicate that both reactions proceed in concert [17]. On the other hand, it was shown that for a protonated propylene oxide, the conversion to an aldehyde proceeds in a two-step reaction [18]. Duuren has described the carcinogenic action of vinyl chloride [20], indicating that there is a spontaneous rearrangement of monochloroethylene oxide to monochloroacetaldehyde. We have therefore had an interest in finding a spontaneous ring-opening process for propylene oxide.



In the present study we investigated five systems, an isolated propylene oxide (1), a hydrated propylene oxide (2), a protonated propylene oxide (3), a protonated and hydrated propylene oxide (4), and a propylene oxide with a hydronium ion (5), by quantum chemical calculations using the MP2/6-31G** level. Furthermore, localized molecular orbitals were calculated for systems 1, 3, and 4. The influences of basis sets and electronic correlations to the ring-opening reaction were also investigated for some systems.

2 Methods of calculation

All calculations were performed using the Gaussian98 program [21] installed on an SGI-Origin-3400 computer of Toyama Medical and Pharmaceutical University. The optimizations of 1 ~ 5 were carried out using the MP2/6-31G** level of calculations. In addition, optimizations of 1 were performed using MP2/6-31++G**, MP3/6-31G**, MP3/6-31++G**, and QCISD/6-31G** levels. The ring-opening potentials of 1 ~ 5 were calculated using the MP2/6-31G** level. Localized molecular orbitals have been obtained by the Boys' method in Gaussian98. The ring-opening potential of 3 was obtained using MP2/6-31++G**, MP3/6-31G**, MP3/6-31++G**, MP4SDQ/6-31G** levels.

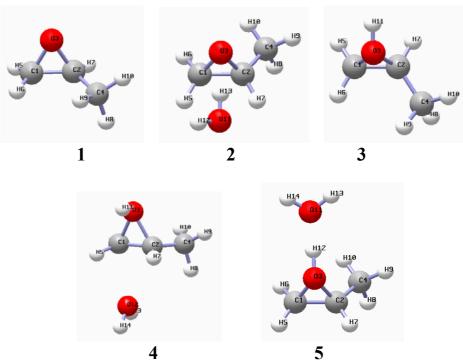


Figure 1. Stable structures of $1 \sim 5$ calculated by the MP2/6-31G** method and the numbering of atoms.

1: an isolated propylene oxide, 2: a hydrated propylene oxide, 3: a protonated propylene oxide, 4: a protonated and hydrated propylene oxide, 5: a propylene oxide with a hydronium ion.

3 RESULTS AND DISCUSSION

3.1 Optimized Structures and Charge Densities of Propylene Oxides

The optimized structures of $1 \sim 5$ by the method of the MP2/6-31G** level are shown in Fig. 1. The numbering of atoms in each compound is also shown in the figure, and the heavy atoms at the same positions in $1 \sim 5$ have been assigned the same values. Although in the initial geometry of 5 a hydronium ion was placed close to the epoxide oxygen atom, the optimized structure in the figure has been found to be like a water molecule binding to the hydrogen atom of 3. The optimized geometrical parameters of the oxide ring are listed in Table 1. The results in the table reveal that the lengths of the C-O bonds in neutral and cationic compounds differ from each other. The lengths of the C-O bonds in 1 and 2 were found to be $1.44 \sim 1.45$ Å, while they were $1.52 \sim 1.57$ Å in 3 and 4. The lengths of the C-O bonds in 5 were found to be between these values. It was found in common through $1 \sim 5$ that the length of a C-O bond involving a carbon atom binding to a methyl residue is greater than that of another C-O bond in the same compound. On the other hand, variations in the lengths of the C₂-C₄ bonds in $1 \sim 5$ show the opposite tendency to those of the C-O bonds. That is,

the lengths of the C_2 - C_4 bonds in neutral compounds of 1 and 2 are longer than those in protonated compounds of 3, 4 and 5.

Table 1. The main geometrical parameters of propyrene oxide.

	1	2	3	4	5
bonds (Å)					
C1-C2	1.4648	1.4631	1.4611	1.4485	1.4610
C1-O3	1.4413	1.4516	1.5206	1.5443	1.4980
C2-O3	1.4411	1.4530	1.5613	1.5699	1.5223
C2-C4	1.5006	1.4990	1.4860	1.4847	1.4930
angles (°)					
C1-C2-O3	59.46	59.71	60.30	61.39	60.24
C2-C1-O3	59.45	59.80	63.12	63.18	61.91
C1-C2-C4	121.81	122.02	123.18	122.9	122.75

Table 2. Total atomic charges of heavy atoms in propylene oxide by Mulliken's population analysis for optimized structures of $1 \sim 5(5')$ and atomic charges with hydrogens. (au)

	1	2	3	4	5(5')		
Total atomic charges							
C_1	-0.0251	-0.0381	-0.0389	-0.0029	-0.0484(-0.0484)		
C_2	0.1103	0.0975	0.0592	0.0896	0.0835(0.0826)		
O3	-0.4681	-0.4906	-0.3886	-0.4161	-0.4594(-0.4556)		
C4	-0.3482	-0.3477	-0.3708	-0.3698	-0.3940(-0.3931)		
Atomic charges with hydrogens							
C ₁	0.2137	0.2301	0.4512	0.4588	0.3928(0.3939)		
C2	0.2316	0.2508	0.2966	0.3123	0.3136(0.3038)		
O3	-0.4681	-0.4906	0.0389	0.0013	0.0240(0.0283)		
<u>C4</u>	0.0228	0.0334	0.2135	0.1882	0.1437(0.1434)		

The atomic charges obtained from Mulliken's population analysis are listed in Table 2. These results indicate that only C₂ carbon in each compound has a positive charge, while other heavy atoms have a negative charge. It can be inferred from the electrostatic interactions that the C₁-O₃ bond is weaker than the C₂-O₃ bond. Dubnikova and Lifshitz [17] have performed DFT calculations of propylene oxide and have shown that the activation energy of a C₁-O₃ rupture producing a propanone is lower than that of a C₂-O₃ rupture producing a propanal. Flowers [13] has measured the products from the gas phase decomposition of propylene oxide, determining the activation energies needed to produce propanone and propanal. These results show that the

activation energy to produce propanone is larger than that to produce propanal. Lifshitz and Tamburu [14] have come to a similar conclusion based on experiments using a single-pulse shock tube. Long and Lifshitz [22] have used mass spectrometry to measure the hydrolysis products from propylene oxide and isobutylene oxide, finding that the C-O bond ruptures in a neutral solution show the same tendencies as those just described above. In an acidic solution, however, it was found that a C_2 - O_3 bond is broken more easily than a C_1 - O_3 bond. In Table 2 the atomic charges with hydrogens summed into heavy atoms are shown. It was found that the atomic charge of an epoxide oxygen atom depends on the total molecular charge. In the neutral systems of 1 and 2, the oxygen charge is negative, while in the cationic systems of 3,4, and 5, it is positive. The atomic charges with hydrogens for carbon atoms are all positive. These results imply that ruptures of the C-O bond in protonated systems occur more easily than those in neutral systems.

Table 3. Dependency of the geometrical parameters and charge densities in **1** on the calculating methods.

	MP2		MP3	QCIS	QCISD		
	6-31G**	6-31++G**	6-31G**	* 6-31++G**	6-31G**		
bond lengths	(Å)						
C1-C2	1.4648	1.4666	1.4679	1.4697	1.4677		
C1-O3	1.4413	1.4484	1.4308	1.4346	1.4368		
C2-O3	1.4411	1.4474	1.4298	1.4328	1.4357		
C2-C4	1.5006	1.5007	1.5042	1.5046	1.5055		
Total atomic charge (au)							
C ₁	-0.0251	-0.0421	-0.0063	-0.0101	-0.0022		
C2	0.1103	0.0489	0.1198	0.0684	0.1211		
O3	-0.4681	-0.3841	-0.4899	-0.3958	-0.4873		
C4	-0.3482	-0.4115	-0.3325	-0.3912	-0.3231		
Atomic charges with hydrogens (au)							
C ₁	0.2137	0.1986	0.2256	0.2083	0.2248		
C2	0.2316	0.1843	0.2382	0.1912	0.2370		
O3	-0.4681	-0.3841	-0.4899	-0.3958	-0.4873		
<u>C</u> 4	0.0228	0.0012	0.0261	-0.0037	0.0254		

In order to investigate the influences of basis sets and electronic correlations on geometrical parameters and atomic charges, we carried out calculations for **1** using the methods of MP2/6-31++G**, MP3/6-31G**, MP3/6-31++G**, and QCISD/6-31G** levels. The results obtained from the calculations are listed in Table 3. The differences of bond lengths between several methods are small in comparison with the differences between the several systems in Table 1. For examples, the

difference in C₂-O₃ bond lengths in Table 1 is 0.1288 Å, while that in Table 3 is 0.0176 Å. In the case of atomic charges with hydrogens, a similar tendency is shown in the results of Tables 2 and 3. Regarding the usual atomic charges, however, we found no identifiable trend. These results suggeste that sophisticated calculations are not needed to qualitatively investigate the structures and atomic charges.

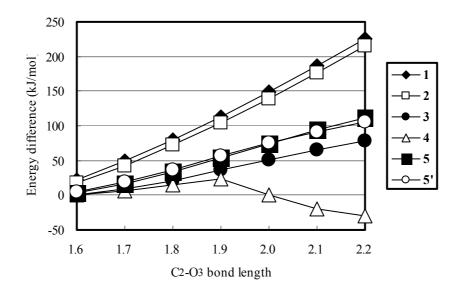


Figure 2. The ring-opening potential variations with respect to C2-O3 bond length (Å) of $1 \sim 5$ and 5'.

3.2 Ring-opening Potentials

Figure 2 shows the ring-opening potential variations with respect to the C_2 - O_3 bond lengths of epoxide for $1 \sim 5$ and 5'. 5' means the SCRF calculations of 5. A lowering of energy was only at the C_2 - O_3 distance of 2.2 Å in 4. In no other cases shown in this figure was a lowering of energy observed. The increases in the potential energies of 4 and 5 (5') with increases in the C_2 - O_3 bond lengths are less steep than those of 1 and 2. It can also be seen that the potential curves of 5 and 5' are slightly different from each other, suggesting that using the reaction fields in calculating the ring-opening potentials does not bring about meaningful changes in the potentials. Therefore 5' is excluded from consideration in all of the discussion below. The variations in the potential energies in $1 \sim 5$ are consistent with the data in Table 2. That is, from the perspective of electrostatic interactions, the C_2 - O_3 bonds in cationic systems are weaker than those in neutral systems.

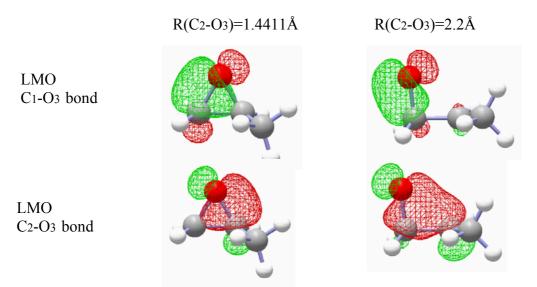


Figure 3. The localized molecular orbitals (LMOs) on C1-O3 and C2-O3 bonds of **1** at lengths 1.4411 and 2.2 Å of the C2-O3 bond.

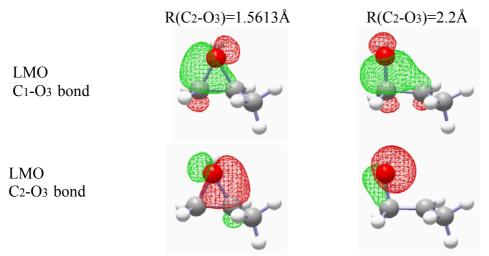


Figure 4. The localized molecular orbitals (LMOs) on C1-O3 and C2-O3 bonds of **3** at lengths 1.5613 and 2.2 Å of the C2-O3 bond.

Three **3**, **4**, and **5** systems are protonated cationic cases. Only **4** among them demonstrated a decrease in potential energy at a C₂-O₃ bond length of 2.2 Å. To identify differences between **3** and **4** and between neutral and acidic solutions, localized molecular orbital calculations were carried out for **1**, **3**, and **4**. The localized molecular orbitals (LMOs) related to C₁-O₃ and C₂-O₃ bonds for **1**, **3**, and **4** are shown in Figures 3, 4, and 5, respectively.

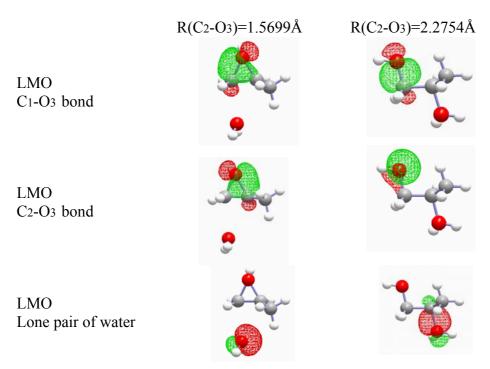


Figure 5. The localized molecular orbitals (LMOs) on C₁-O₃ bond, C₂-O₃ bond, and lone pair prbital of water of **4** at lengths 1.5699 and 2.2754 Å of the C₂-O₃ bond.

In Fig. 5, furthermore, a lone pair orbital of the oxygen atom in a water molecule is also shown. LMO localized on a C₁-O₃ bond is expected to be invariable through cleavage of the C₂-O₃ bond. On the other hand, the LMO on the C₂-O₃ bond is expected to transform to a lone pair orbital of the oxygen atom through this cleavage. It can be seen in Fig. 3 that even when the C₂-O₃ bond length is 2.2 Å, the LMO on the C₂-O₃ bond never transforms to a lone pair orbital. In contrast, in Fig. 4 it is shown that the LMO localized on a C₂-O₃ bond does become the lone pair orbital of an oxygen atom. It can also be seen in the figure that the LMO localized on the C1-O3 bond expands into a C2 atom when the C₂-O₃ bond length is 2.2 Å. In each case there is one LMO that resists the C₂-O₃ bond cleavage which makes cationic cite of C2 atom and brings the atom an unsatisfactory valence. It is shown from Fig. 5 that when the length of the C₂-O₃ bond is 2.2754 Å, the LMO on the C₂-O₃ bond is transformed into a lone pair orbital of an oxygen atom. It is also shown that the LMO on the C₁-O₃ bond is scarcely expanded to a C₂ atom. One important situation in Fig. 5 is the conversion of a lone pair orbital of the oxygen atom in the water molecule to a new bond to a C2 carbon atom when the C₂-O₃ bond is 2.2754 Å. The LMOs in 4 when the C₂-O₃ bond length is 2.2754 Å have forms almost identical to the LMOs when the length is 2.2 Å. The C₂-O₃ bond length is 2.2754 Å for the fully optimized structure obtained from the calculation starting with the initial structure of 2.2 Å. The results of the LMO calculations indicate that the unsatisfactory valence of the C_2 atom resists rupture of the C_2 -O₃ bond. It also appears that the existence of a nucleophilic reagent complementing the valence accelerates the ring-opening reaction.

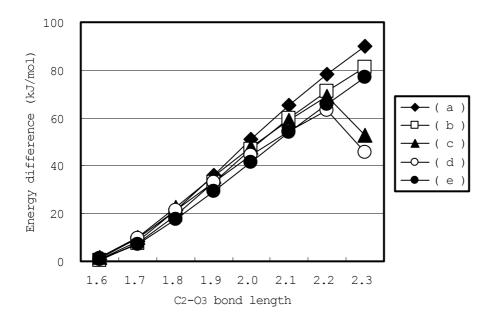


Figure 6. The ring-opening potential variations with respect to C2-O3 bond length (Å) of **3**.

- (a) $MP2/6-31G^{**}$, (b) $MP2/6-31++G^{**}$, (c) $MP3/6-31G^{**}$, (d) $MP3/6-31++G^{**}$,
- (e) MP4SDQ/6-31G**.

One of our interests is the reaction path of making propanal through the ring-opening of a propylene oxide. In order to complete the process of producing a propanal from a propylene oxide, one of the hydrogen atoms binding to a C_1 atom of propylene oxide must transfer to a C_2 atom. Dubnikova and Lifshitz [17] have concluded that both the ring-opening process of propylene oxide and the hydrogen transfer process proceed via concerted mechanisms in neutral gas phase conditions. Coxon *et al.* [18], however, have concluded that in protonated propylene oxide, two processes occur in different steps. In our results, a stable structure with a 2.2 Å C_2 - O_3 bond was found only in 4. In this case, a water molecule binds the C_2 atom. As such, the transfer of a hydrogen atom from the C_1 atom to the C_2 atom may not be preferred over the approach of a water molecule to the C_2 atom. In the case of 3, we performed more sophisticated computations, utilizing MP2/6-31++G**, MP3/6-31G**, MP3/6-31++G**, and MP4SDQ/6-31G** methods. The results

regarding the ring-opening potentials are shown in Fig. 6 along with the MP2/6-31G** result from Fig. 2. When the C₂-O₃ bond length is 2.3 Å, a decrease in potential energies can be seen in two methods. A common point in the two cases is the MP3 level of the electronic correlation. Full optimization calculations were performed from the initial structures, which were obtained by partial optimization, fixing the C₂-O₃ length to 2.3 Å. The results of the calculation using the MP3/6-31G** method indicate that a hydrogen transfer from the C₁ atom to the C₂ atom occurs, and that there is a 0.01 au decrease in the quantity of the potential energy from propylene oxide. The results of the calculation using the MP3/6-31++G** method, however, indicate that there is no hydrogen transfer and that the structure and the potential energy change slightly changed from those of 2.3 Å. If no calculations in this study could give a hydrogen-transfer structure, it could be said that the ring-opening process of propylene oxide proceeds without a hydrogen transfer occurring in a concerted manner. However, there is one case where a hydrogen-transfer structure was obtained; therefore it must be suggested that the process of obtaining propanal from propylene oxide is a one-step reaction. Quantitative analysis involving an exact transition structure and IRC calculations will be carried out in the near future.

4 CONCLUDING REMARKS

Quantum chemical calculations were performed for five systems: an isolated propylene oxide, a hydrated propylene oxide, a protonated propylene oxide, a protonated and hydrated propylene oxide, and a propylene oxide with a hydronium ion. The aim of these calculations was to reveal what conditions allow spontaneous completion of the ring-opening process of propylene oxide to create a propanal. Two conclusions can be drawn from our results. The first is that the ring-opening process never proceeds spontaneously under any conditions, even in acidic conditions. The second is that both the ring-opening process and the hydrogen transfer process appear to proceed in a one-step process.

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Mineyuki Mizuguchi received his Ph.D. degree in molecular biology from the Graduate School of Science, Hokkaido University, Japan, and carried out postdoctoral studies on protein folding at the Scripps Research Institute, USA. He is presently Associate Professor of Pharmaceutical Sciences at the Toyama Medical and Pharmaceutical University, Japan. His research interest includes the protein structure and misfolding associated with amyloid diseases.